

Electronic Acknowledgement Receipt

EFS ID:	2426085
Application Number:	09676374
International Application Number:	
Confirmation Number:	1828
Title of Invention:	System and method for analyzing and searching financial instrument data
First Named Inventor/Applicant Name:	Ernest H. Zerenner
Customer Number:	23377
Filer:	John E. McGlynn/Rosalyn Ader
Filer Authorized By:	John E. McGlynn
Attorney Docket Number:	POPT-0004
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Application Type:	Utility under 35 USC 111(a)

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Payment was successfully received in RAM	\$240
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		popt0004resp_1.pdf	63813 09b14ffbe10c99915cd32c20b1a9e2ae18a075a3	yes	13
Multipart Description/PDF files in .zip description					
	Document Description		Start		End
	Amendment - After Non-Final Rejection		1		1
	Specification		2		2
	Claims		3		11
	Applicant Arguments/Remarks Made in an Amendment		12		13
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed	popt0004sids.pdf	24514 a9db2bf4ee74158b4fb2224c5e0ed5cc992db448	no	3
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	NPL Documents	popt0004pto1449.pdf	20754 e2b50a471373078684d921a9881367ec678c44	no	1
Warnings:					
Information:					
4	Miscellaneous Incoming Letter	popt0004trans.pdf	38553 f3a254bb53569778387e10ea08d03004165c3ea	no	4
Warnings:					
Information:					
5	Fee Worksheet (PTO-06)	fee-info.pdf	8341 34aaf5d0130a6c304073eebf61db27a49cc0ad2	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			155975		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.